# Communication Engineering Ch.03. Amplitude Modulation

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# Modulation Types (in earnest...)

- Continuous-wave (CW) modulation: *sinusoidal carrier* (amplitude, phase, frequency)
  - Ch3. Amplitude modulation: AM, DSB-SC, SSB, VSB
  - Ch4. Angle modulation: PM, FM
- Pulse modulation: *periodic pulse train* (amplitude, duration, positon): Ch5
  - Analogue pulse modulation: PAM, PDM, PPM
  - Digital pulse modulation: PCM, DM, DPCM → "0/1"
     \*Line code: 0/1 → electrical representation
     =pulse shaping (Ch6) for "digital baseband modulation/transmission"
- ☐ Ch.6: <u>Baseband transmission</u>: discrete pulse-amplitude modulation → transmitted over a *low-pass channel* (e.g., a coaxial cable): **PAM**
- Ch.7: <u>Digital band-pass (passband) modulation</u> → transmitted over a band-pass channel (e.g., wireless channel): ASK, PSK, FSK, QAM
- **Ch. 8: Random signals and noise**
- **Ch.** 9&10?

## 3.0 What We Will Learn & Think ?

## Modulation

- The process by which some characteristic of a carrier wave is varied in accordance with an information-bearing signal.
- Continuous-(carrier) wave modulation
  - Amplitude modulation
  - Frequency modulation

$$c(t) = A_c \cos(2\pi f_c t) \quad (3.1)$$

- □ AM modulation family
  - Amplitude modulation (AM)
  - Double sideband-suppressed carrier (DSB-SC)
  - Single sideband (SSB)
  - Vestigial sideband (VSB)

## 3.0 What We Will Learn & Think ?

- Lesson 1 : Fourier analysis provides a powerful mathematical tool for developing mathematical as well as physical insight into the spectral characterization of linear modulation strategies
- Lesson 2 : The implementation of analog communication is significantly simplified by using AM, at the expense of transmitted power and channel bandwidth
- Lesson 3 : The utilization of transmitted power and channel bandwidth is improved through well-defined modifications of an amplitude-modulated wave's spectral content at the expense of increased system <u>complexity</u>.

Table 1: Summary of Amplitude Modulation Schemes (ED/CD: envelope/coherent detector).

Modulation Scheme	AM	DSB-SC	SSB	VSB	VSB+Carrier
Tx power cons (carrier and/or sid Bandwidth $(W, 2)$ You should find your feet and you will! Carrier and $W + f_v$ ide frequencies					
Demodulation (ED or CD)	ED	CD			

Theory

- Message signal: modulating wave m(t)
- Sinusoidal carrier wave (cos not sin): modulated wave  $c(t) = A_c \cos(2\pi f_c t)$  (3.1)

where  $A_c$ : carrier amplitude  $f_c$ : carrier frequency

• Amplitude-modulated wave  $s(t) = A_c [1 + k_a m(t)] \cos(2\pi f_c t)$  (3.2)

where  $k_a$ : *amplitude sensitivity* of the modulator

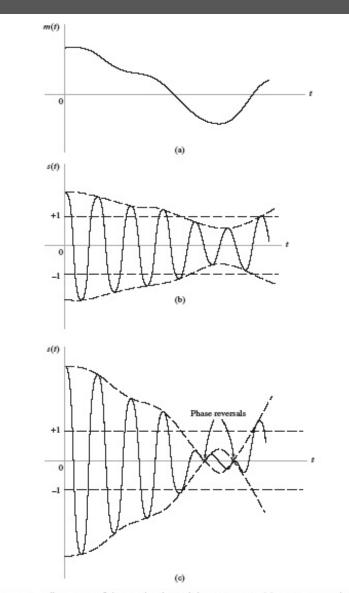
- The envelope of s(t) has the same shape as the message signal m(t) if two conditions are satisfied :
  - The amplitude of  $k_a m(t)$  is always less than unity

 $\left|k_a m(t)\right| < 1, \quad \text{for all } t \quad (3.3)$ 

• The carrier frequency  $f_c$  is much greater than the highest frequency component W (message bandwidth) of m(t)

 $f_c >> W$  (3.4)

- Envelope detector
  - A device whose output traces the envelope of the AM wave acting as the input signal
  - Demodulation of AM wave is achieved by using an envelope detector.



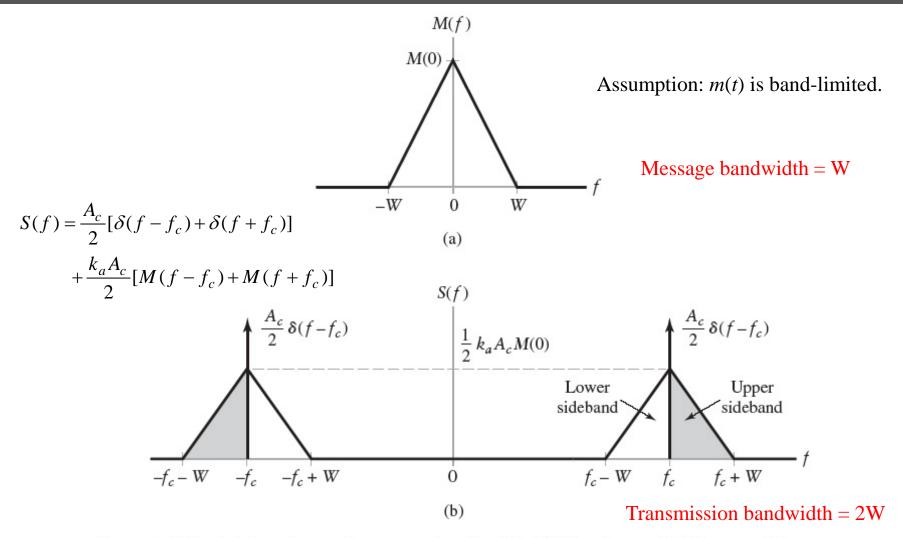
#### □ Frequency-domain description of AM

- Message spectrum M(f) = Fourier transform of m(t)
- The Fourier transform or spectrum of the AM wave  $s(t) = A_c [1 + k_a m(t)] \cos(2\pi f_c t)$

$$S(f) = \frac{A_c}{2} [\delta(f - f_c) + \delta(f + f_c)] + \frac{k_a A_c}{2} [M(f - f_c) + M(f + f_c)] \quad (3.5)$$

where we used

$$\cos(2\pi f_c t) = \frac{1}{2} [\exp(j2\pi f_c t) + \exp(-j2\pi f_c t)]$$
$$\exp(j2\pi f_c t) \Leftrightarrow \delta(f - f_c)$$
$$\cos(2\pi f_c t) \Leftrightarrow \frac{1}{2} [\delta(f - f_c) + \delta(f + f_c)]$$
$$m(t) \exp(j2\pi f_c t) \Leftrightarrow M(f - f_c)$$
$$m(t) \cos(2\pi f_c t) \Leftrightarrow \frac{1}{2} [M(f - f_c) + M(f + f_c)]$$



**FIGURE 3.2** (a) Spectrum of message signal m(t). (b) Spectrum of AM wave s(t).

- Important observations from the spectrum of Fig.
   3.2(b)
  - 1. As a result of the modulation process, the spectrum of the message signal m(t) for negative frequencies becomes visible for positive frequencies if  $f_c > W$ .
  - 2. For positive frequencies, the portion of the spectrum of an AM wave lying above the carrier frequency  $f_c$  is referred to as the <u>upper sideband</u>, whereas the symmetric portion below  $f_c$  is referred to as the <u>lower sideband</u>.
  - 3. The transmission bandwidth  $B_{\tau}$  of the AM wave;

 $B_{T} = 2W \quad (3.6)$ 

#### **EXAMPLE 3.1** Single-Tone Modulation

Consider a modulating wave m(t) that consists of a single tone or frequency component; that is,

$$m(t) = A_m \cos(2\pi f_m t)$$

where  $A_m$  is the amplitude of the sinusoidal modulating wave and  $f_m$  is its frequency (see Fig. 3.3(*a*)). The sinusoidal carrier wave has amplitude  $A_c$  and frequency  $f_c$  (see Fig. 3.3(*b*)). The corresponding AM wave is therefore given by

$$s(t) = A_c [1 + \mu \cos(2\pi f_m t)] \cos(2\pi f_c t)$$
(3.7)

where

$$\mu = k_a A_m$$

The dimensionless constant  $\mu$  is called the *modulation factor*, or the *percentage modulation* when it is expressed numerically as a percentage. To avoid envelope distortion due to overmodulation, the modulation factor  $\mu$  must be kept below unity, as explained previously.

Figure 3.3(*c*) shows a sketch of s(t) for  $\mu$  less than unity. Let  $A_{\text{max}}$  and  $A_{\text{min}}$  denote the maximum and minimum values of the envelope of the modulated wave, respectively. Then, from Eq. (3.7) we get

$$\frac{A_{\max}}{A_{\min}} = \frac{A_c(1+\mu)}{A_c(1-\mu)}$$

Rearranging this equation, we may express the modulation factor as

$$\mu = \frac{A_{\max} - A_{\min}}{A_{\max} + A_{\min}}$$

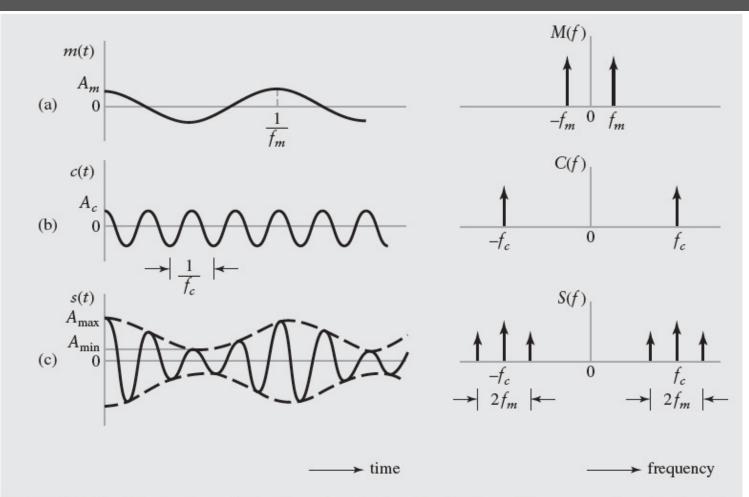
Expressing the product of the two cosines in Eq. (3.7) as the sum of two sinusoidal waves, one having frequency  $f_c + f_m$  and the other having frequency  $f_c - f_m$ , we get

$$s(t) = A_c \cos(2\pi f_c t) + \frac{1}{2}\mu A_c \cos[2\pi (f_c + f_m)t] + \frac{1}{2}\mu A_c \cos[2\pi (f_c - f_m)t]$$

The Fourier transform of s(t) is therefore

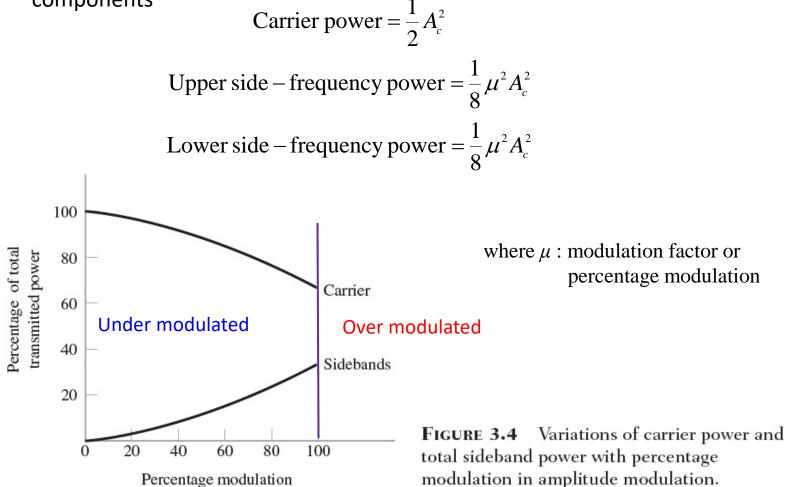
$$S(f) = \frac{1}{2} A_c [\delta(f - f_c) + \delta(f + f_c)] \\ + \frac{1}{4} \mu A_c [\delta(f - f_c - f_m) + \delta(f + f_c + f_m)] \\ + \frac{1}{4} \mu A_c [\delta(f - f_c + f_m) + \delta(f + f_c - f_m)]$$

Thus the spectrum of an AM wave, for the special case of sinusoidal modulation, consists of delta functions at  $\pm f_c$ ,  $f_c \pm f_m$ , and  $-f_c \pm f_m$ , as shown in Fig. 3.3(*c*).



**FIGURE 3.3** Illustration of the time-domain (on the left) and frequency-domain (on the right) characteristics of amplitude modulation produced by a single tone. (*a*) Modulating wave. (*b*) Carrier wave. (*c*) AM wave.

The average power delivered to a 1-ohm resistor by s(t) is comprised of three components



#### Computer experiment : AM

We will study sinusoidal modulation based on the following parameters

Carrier amplitude, $A_c = 1$ Carrier frequency, $f_c = 0.4Hz$ Modulation frequency, $f_m = 0.05Hz$ 

It is recommended that the number of frequency samples satisfies the condition

$$M \ge \frac{f_s}{f_r} = \frac{10}{0.005} = 2000$$

The modulation factor µ

 $\mu = 0.5$ , corresponding to undermodulation

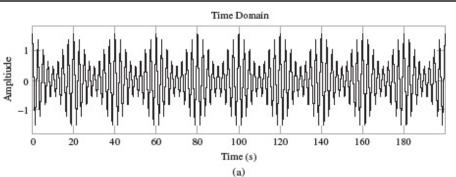
 $\mu = 1.0$ , corresponding to 100 percent modulation

 $\mu = 2.0$ , corresponding to overmodulation

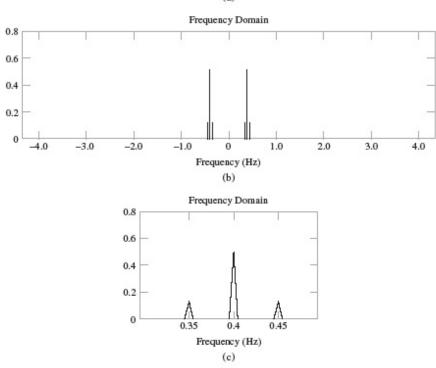
- Modulation factor µ=0.5
  - The lower side frequency, the carrier, and the upper side frequency are located at  $(f_c-f_m)=\pm 0.35$  Hz,  $f_c=\pm 0.4$  Hz, and  $(f_c+f_m)=\pm 0.45$  Hz.
  - The amplitude of both side frequencies is ( $\mu/2$ )=0.25 times the amplitude of the carrier

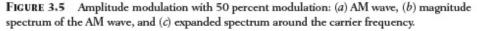
• Modulation factor  $\mu$ =1.0

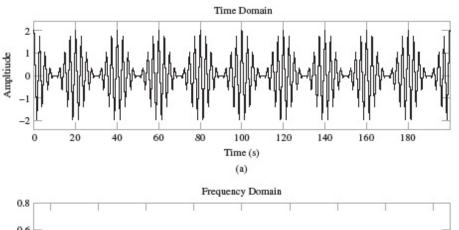
Modulation factor µ=2.0



Modulation factor  $\mu$ =0.5







Modulation factor  $\mu$ =1.0

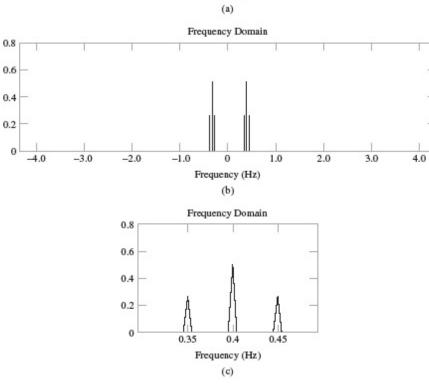


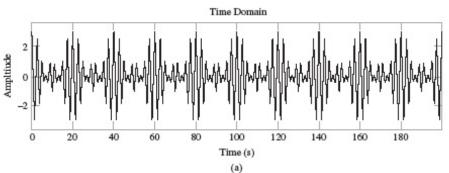
FIGURE 3.6 Amplitude modulation with 100 percent modulation: (a) AM wave, (b) magnitude spectrum of the AM wave, and (c) expanded spectrum around the carrier frequency.

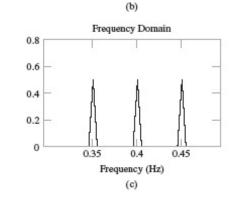
0.8

0.6

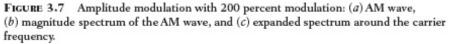
0.2

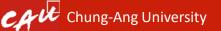
-4.0





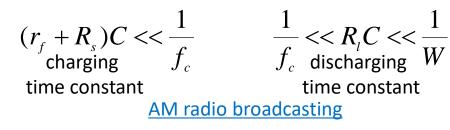
Modulation factor  $\mu$ =2.0





### Envelope detection (way1)

- AM wave can be demodulated by the envelope detector if
  - The AM wave is narrowband, which ۲ means that the carrier frequency is large compared to the message bandwidth
  - The percentage modulation in the ۲ AM wave is less than 100 percent.
- Envelope detector consisting of a diode and RC filter
  - The capacitor C charges rapidly and discharges slowly through  $R_{l}$ .



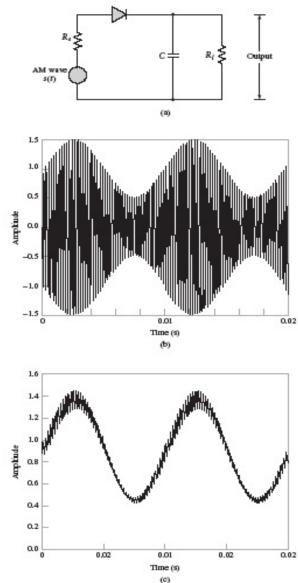
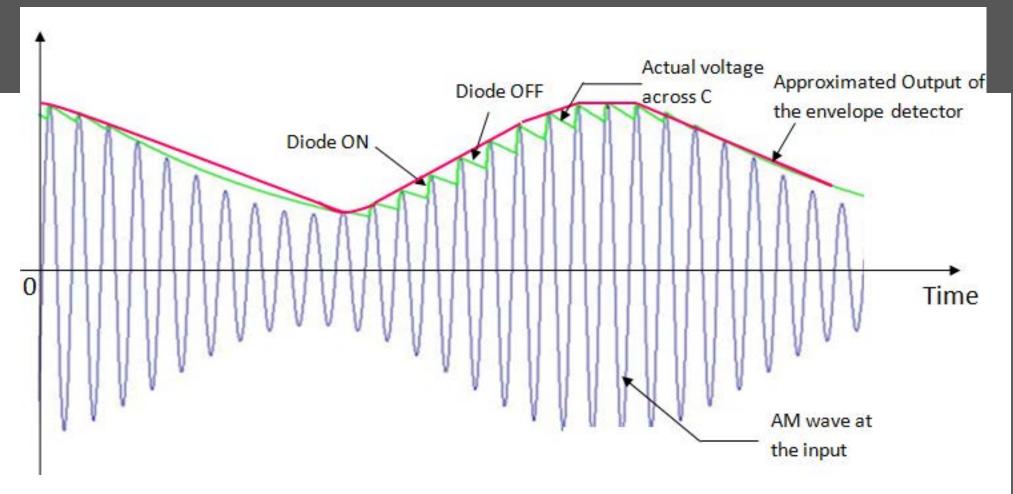


FIGURE 3.9 Envelope detector. (a) Circuit diagram. (b) AM wave input. (c) Envelope detector output

time constant



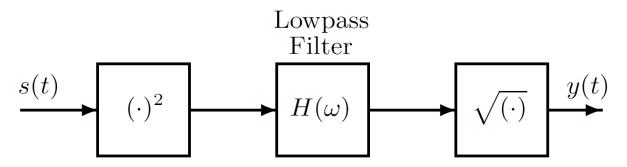
The capacitor charges through D and  $R_s$  when the diode i on and it discharges through R when the diode is off. The charging time constant  $R_sC$  should be short compared to the carrier period  $1/f_c$ .

Thus,  $R_sC \ll 1/f_c$ 

On the other hand, the discharging time constant RC should be long enough so that the capacitor discharges slowly through the load resistance R. But, this time constant should not be too long which will not allow the capacitor voltage to discharge at the maximum rate of change of the envelope.

Therefore,  $1/f_c \ll RC \ll 1/W$ where, W = Maximum modulating frequency

Demodulation: Square-law demodulation (way2)



Square-Law Envelope Detector

The squarer output is

$$s^{2}(t) = A_{c}^{2}[1 + k_{a}m(t)]^{2}\cos^{2}\omega_{c}t$$
  
=  $0.5A_{c}^{2}[1 + k_{a}m(t)]^{2} + 0.5A_{c}^{2}[1 + k_{a}m(t)]^{2}\cos 2\omega_{c}t$ 

# 3.2 Virtues, Limitations, and Modifications of Amplitude Modulation

## Practical Limitation

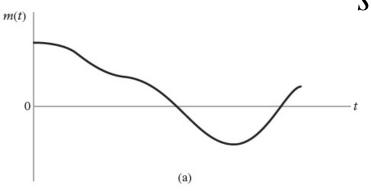
- AM is wasteful of transmitted power
  - The transmission of the carrier wave c(t) is independent of m(t).
- AM is wasteful of channel bandwidth
  - Only one sideband is necessary for the transmission of information
    - The communication channel needs to provide only the same bandwidth as the message signal.
  - AM requires a transmission bandwidth equal to twice the message bandwidth.

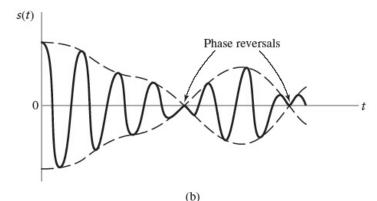
## Three modifications of AM

- Double sideband-suppressed carrier (DSB-SC) modulation
- Single sideband (SSB) modulation
- Vestigial sideband (VSB) modulation

Theory

 DSB-SC modulation consists of the product of the message signal and the carrier wave: (product modulator)





$$s(t) = c(t)m(t)$$

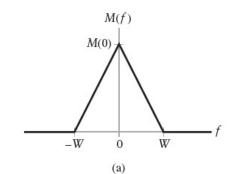
$$=A_c m(t) \cos(2\pi f_c t) \quad (3.8)$$

- Modulated signal s(t) undergoes a phase reversal whenever m(t) crosses zero.
- Envelope of DSB-SC is different from the message signal
- Simple demodulation using an envelope detection is not a viable option.

**FIGURE 3.10** (*a*) Message signal m(t). (*b*) DSB-SC modulated wave s(t).

Fourier transform of s(t)

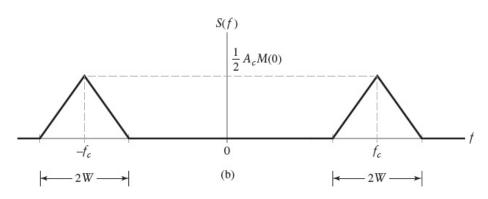
$$S(f) = \frac{1}{2}A_c[M(f - f_c) + M(f + f_c)] \quad (3.9)$$



 No advantage over AM in view of bandwidth occupancy

$$\blacktriangleright$$
  $B_T = 2W$ 

• Transmission power is saved over AM.



**FIGURE 3.11** (*a*) Spectrum of message signal m(t). (*b*) Spectrum of DSB-SC modulated wave s(t).

#### **EXAMPLE 3.2** Sinusoidal DSB-SC spectrum

Consider DSB-SC modulation using a sinusoidal modulating wave of amplitude  $A_m$  and frequency  $f_m$  and operating on a carrier of amplitude  $A_c$  and frequency  $f_c$ . The message spectrum is

$$M(f) = \frac{1}{2}A_{m}\delta(f - f_{m}) + \frac{1}{2}A_{m}\delta(f + f_{m})$$

Invoking Eq. (3.9), the shifted spectrum  $\frac{1}{2}A_cM(f - f_c)$  defines the two side-frequencies for positive frequencies:

$$\frac{1}{4}A_cA_m\delta(f-(f_c+f_m)); \qquad \frac{1}{4}A_cA_m\delta(f-(f_c-f_m))$$

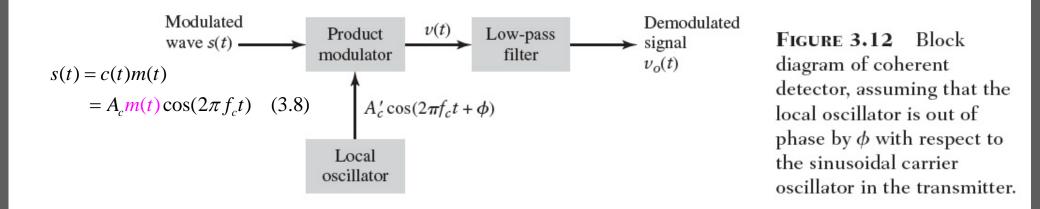
The other shifted spectrum of Eq. (3.9)—namely,  $\frac{1}{2}A_cM(f + f_c)$ ,—defines the remaining two side-frequencies for negative frequencies:

$$\frac{1}{4}A_cA_m\delta(f+(f_c-f_m)); \qquad \frac{1}{4}A_cA_m\delta(f+(f_c+f_m))$$

which are the *images* of the first two side-frequencies with respect to the origin, in reverse order.

## Coherent detection (synchronous demodulation)

- Recovery of the message signal m(t)
  - 1. Multiply the modulated signal s(t) with a locally generated sinusoidal wave  $A_c' \cos(2\pi f_c t + \phi)$
  - 2. Low-pass filter the product (filter output), i.e.,  $A'_c \cos(2\pi f_c t + \phi) \cdot s(t)$



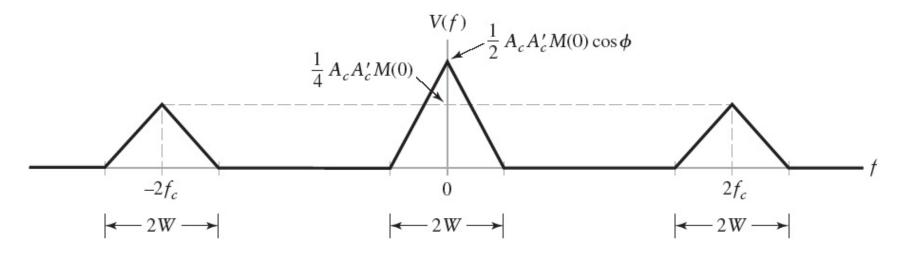
Product modulation output

$$\begin{aligned} v(t) &= A'_{c} \cos(2\pi f_{c}t + \phi)s(t) \\ &= A_{c}A'_{c} \cos(2\pi f_{c}t) \cos(2\pi f_{c}t + \phi)m(t) \\ &= \frac{1}{2}A_{c}A'_{c} \cos(4\pi f_{c}t + \phi)m(t) + \frac{1}{2}A_{c}A'_{c} \cos(\phi)m(t) \quad (3.10) \\ &\text{where we used} \quad \cos(\theta_{1})\cos(\theta_{2}) = \frac{1}{2}\cos(\theta_{1} + \theta_{2}) + \frac{1}{2}\cos(\theta_{1} - \theta_{2}) \end{aligned}$$

• Low-pass filter output (by choosing  $f_c > W$ )

$$v_o(t) = \frac{1}{2} A_c A'_c \cos(\phi) m(t)$$
 (3.11)

- $v_o(t)$  is proportional to m(t)
- The quadrature null effect :  $v_o(t) = 0$  for  $\phi = \pm \pi/2$ 
  - The phase error  $\phi$  in the local oscillator (frequency offset) causes the detector output to be attenuated by a factor equal to  $\cos \phi$
  - The local oscillator in the receiver and the carrier wave must be synchronized in frequency and phase. → increasing complexity



**FIGURE 3.13** Illustration of the spectrum of product modulator output v(t) in the coherent detector of Fig. 3.12, which is produced in response to a DSB-SC modulated wave as the detector input.

## 3.4 Costas Receiver

### Costas Receiver

- Consists of two coherent detectors supplied with the same input signal
  - Two local oscillator signals are in phase quadrature with respect to each other
  - The frequency of local oscillator =  $f_c$  (*a priori*)
  - I-channel : in-phase (cos) coherent detector
  - Q-channel : quadrature-phase (sin) coherent detector
- Two detectors form a negative feedback to maintain the local oscillator in synchronism with the carrier wave.

## 3.4 Costas Receiver

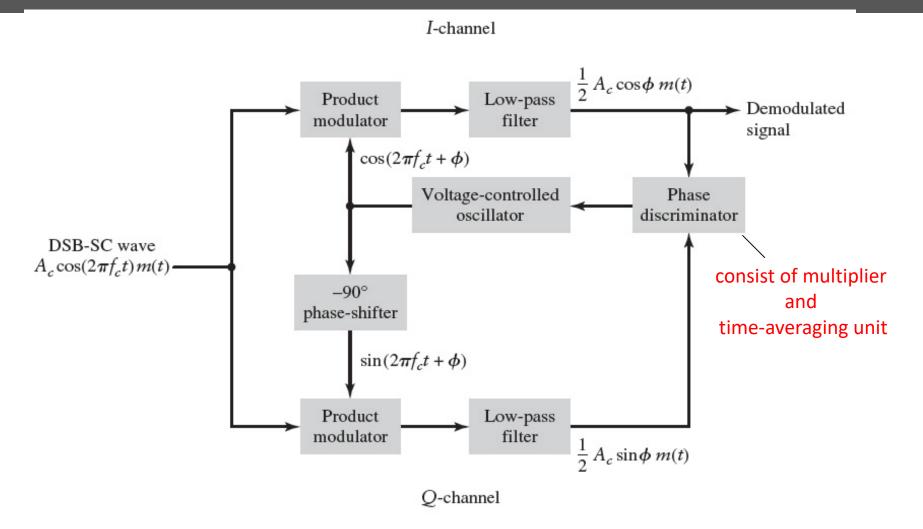


FIGURE 3.16 Costas receiver for the demodulation of a DSB-SC modulated wave.

## 3.5 Quadrature-Carrier Multiplexing

**Quadrature-Amplitude modulation (QAM)** 

 This scheme enables two DSB-SC modulated waves to occupy the same channel bandwidth (exploiting "quadrature null effect") as one DSB-SC wave

 $s(t) = A_c m_1(t) \cos(2\pi f_c t) + A_c m_2(t) \sin(2\pi f_c t) \quad (3.12)$ 

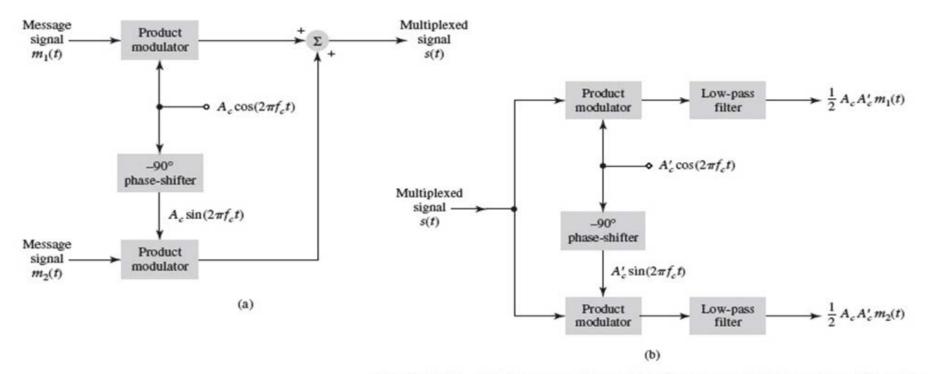


FIGURE 3.17 Quadrature-carrier multiplexing system: (a) Transmitter, (b) receiver.

- □ Single-Sideband Modulation
  - Suppress one of the two sideband in the DSB-SC modulated wave
- □ Theory
  - A DSB-SC modulator using the sinusoidal modulating wave

 $m(t) = A_m \cos(2\pi f_m t)$ 

The resulting DSB-SC modulated wave is

$$S_{DSB}(t) = c(t)m(t)$$
  
=  $A_c A_m \cos(2\pi f_c t)\cos(2\pi f_m t)$   
=  $\frac{1}{2}A_c A_m \cos[2\pi (f_c + f_m)t] + \frac{1}{2}A_c A_m \cos[2\pi (f_c - f_m)t]$  (3.13)  
Upper SSB two side-frequencies Lower SSB

• Suppressing the second term in Eq. (3.13), the upper and lower SSB modulated wave are  $S_{n}(t) = \frac{1}{2} A A \cos[2\pi(f + f)t] = (3.14)$ 

$$S_{USSB}(t) = \frac{-A_c A_m \cos[2\pi (f_c + f_m)t]}{2} \quad (3.14)$$
  
=  $\frac{1}{2} A_c A_m \cos(2\pi f_c t) \cos(2\pi f_m t) - \frac{1}{2} A_c A_m \sin(2\pi f_c t) \sin(2\pi f_m t) \quad (3.15)$ 

- Suppose we suppress the first term (high side-frequency) in (3.13)
  - Lower SSB modulated wave:

$$S_{LSSB}(t) = \frac{1}{2} A_c A_m \cos(2\pi f_c t) \cos(2\pi f_m t) + \frac{1}{2} A_c A_m \sin(2\pi f_c t) \sin(2\pi f_m t) \quad (3.16)$$

A sinusoidal SSB modulated wave

$$S_{SSB}(t) = \frac{1}{2} A_c A_m \cos(2\pi f_c t) \cos(2\pi f_m t) \mp \frac{1}{2} A_c A_m \sin(2\pi f_c t) \sin(2\pi f_m t) \quad (3.17)$$

 $\Box$  For a periodic message signal m(t) defined by the Fourier series,

$$m(t) = \sum_{n} a_n \cos(2\pi f_n t) \quad (3.18)$$

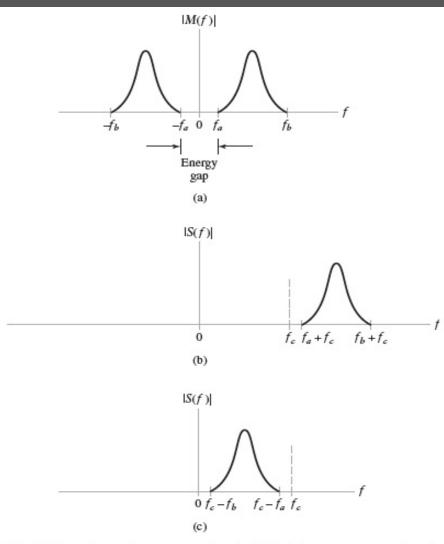
Wideband phase shifter:= Hilbert transform

the SSB modulated wave is

$$S_{SSB}(t) = \frac{1}{2} A_c \cos(2\pi f_c t) \sum_n a_n \cos(2\pi f_n t) \mp \frac{1}{2} A_c \sin(2\pi f_c t) \sum_n a_n \sin(2\pi f_n t) \quad (3.19)$$

• For another periodic signal,  $\hat{m}(t) = \sum_{n} a_n \sin(2\pi f_n t)$  (3.20)

the SSB modulated wave is 
$$S_{SSB}(t) = \frac{A_c}{2} m(t) \cos(2\pi f_c t) \mp \frac{A_c}{2} \hat{m}(t) \sin(2\pi f_c t)$$
 (3.21)



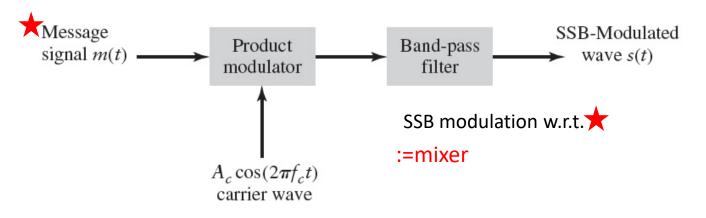
**FIGURE 3.18** (a) Spectrum of a message signal m(t) with energy gap centered around zero frequency. Corresponding spectra of SSB-modulated waves using (b) upper sideband, and (c) lower sideband. In parts (b) and (c), the spectra are only shown for positive frequencies.

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## Modulators for SSB

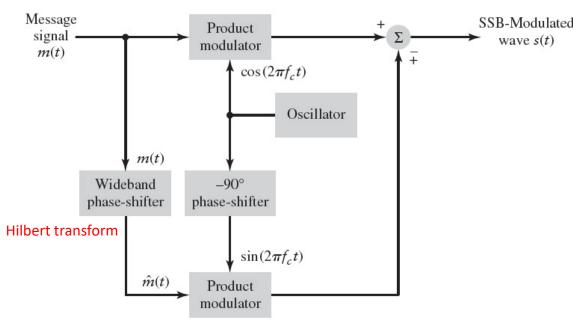
Frequency Discrimination Method



**FIGURE 3.19** Frequency-discrimination scheme for the generation of a SSB modulated wave.

• For the design of the band-pass filter to be practically feasible, there must be a certain separation between the two sidebands that is wide enough to accommodate the transition band of the band-pass filter.

### Phase Discrimination Method



**FIGURE 3.20** Phase discrimination method for generating a SSB-modulated wave. Note: The plus sign at the summing junction pertains to transmission of the lower sideband and the minus sign pertains to transmission of the upper sideband.

 Wideband phase shifter: interfere with the in-phase path so as to eliminate power in one of the two sidebands, depending on whether upper SSB or lower SSB is the requirement.

#### Hilbert transform

$$H(u)(t) = rac{1}{\pi} \int_{-\infty}^\infty rac{u( au)}{t- au} \, d au$$

Signal $u(t)$	Hilbert transform $^{[fn \ 1]}$ $H(u)(t)$
$\sin(\omega t)$ [fn 2]	$\mathrm{sgn}(\omega) \sinig(\omega t - rac{\pi}{2}ig) = - \mathrm{sgn}(\omega) \cos(\omega t)$
$\cos(\omega t)$ [fn 2]	$\mathrm{sgn}(\omega)\cosig(\omega t-rac{\pi}{2}ig)=\mathrm{sgn}(\omega)\sin(\omega t)$
$e^{i\omega t}$	$\mathrm{sgn}(\omega)e^{i\left(\omega t-rac{\pi}{2} ight)}=-i\cdot\mathrm{sgn}(\omega)e^{i\omega t}$
$\frac{1}{t^2+1}$	$rac{t}{t^2+1}$
$e^{-t^2}$	$2\pi^{-1/2}F(t)$ (see Dawson function)
$\frac{\mathrm{Sinc \ function}}{\frac{\sin(t)}{t}}$	$\frac{1-\cos(t)}{t}$
Rectangular function $\sqcap(t)$	$\frac{1}{\pi}\ln \left \frac{t+\frac{1}{2}}{t-\frac{1}{2}}\right $
Dirac delta function $\delta(t)$	$rac{1}{\pi t}$
Characteristic Function $\chi_{[a,b]}(t)$	$\frac{1}{\pi}\ln\Bigl \frac{t-a}{t-b}\Bigr $

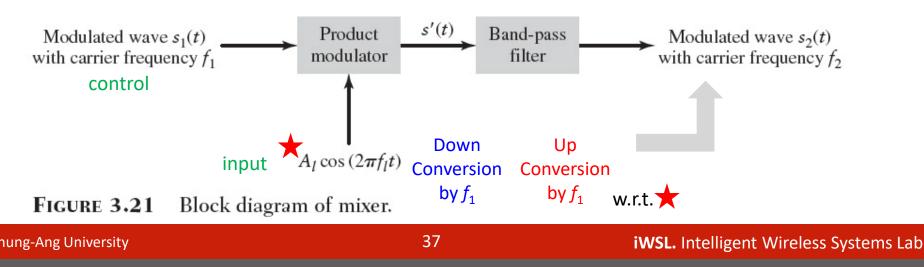
# 3.6 Single-Sideband Modulation

#### Coherent Detection of SSB

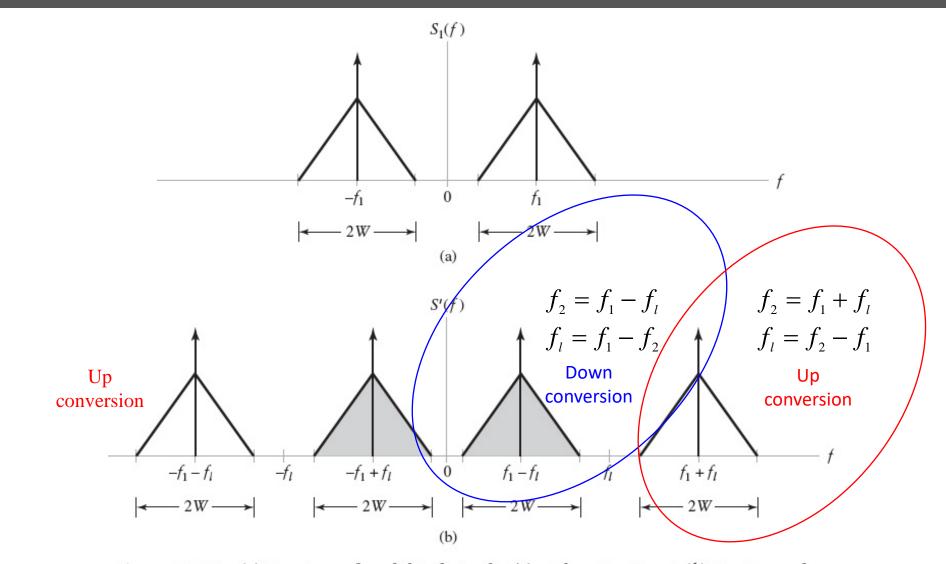
- Synchronization (frequency and phase) of a local oscillator in the receiver with the oscillator generating the carrier in the transmitter
- The demodulation of SSB is further complicated by the additional suppression of the upper or lower sideband.

#### Frequency Translation

- Single sideband modulation is in fact a form of frequency translation
  - Frequency changing
  - Mixing
  - Heterodyning



### 3.6 Single-Sideband Modulation



**FIGURE 3.22** (*a*) Spectrum of modulated signal  $s_1(t)$  at the mixer input. (*b*) Spectrum of the corresponding signal s'(t) at the output of the product modulator in the mixer.

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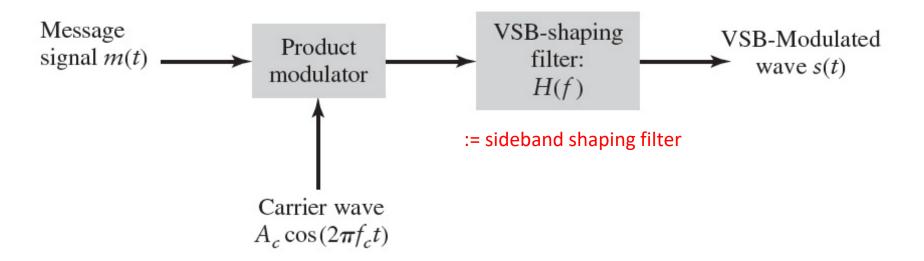
#### □ For the spectrally efficient transmission of wideband signals

- Typically, the spectra of wideband signals contain significantly low frequencies → the use of SSB modulation is impractical.
- The spectral characteristics of wideband data befit the use of DSB-SC. However, DSB-SC requires a transmission bandwidth equal to twice the message bandwidth → violate the bandwidth conservation requirement.

#### Vestigial sideband (VSB) modulation

- Instead of completely removing a sideband, a trace of vestige of that sideband is transmitted → the name "vestigial sideband"
- Instead of transmitting the other sideband in full, almost the whole of this second band is also transmitted.
- Transmission bandwidth

 $B_T = f_v + W$  where  $f_v$ : vestige bandwidth W: message bandwidth



**FIGURE 3.23** VSB modulator using frequency discrimination.

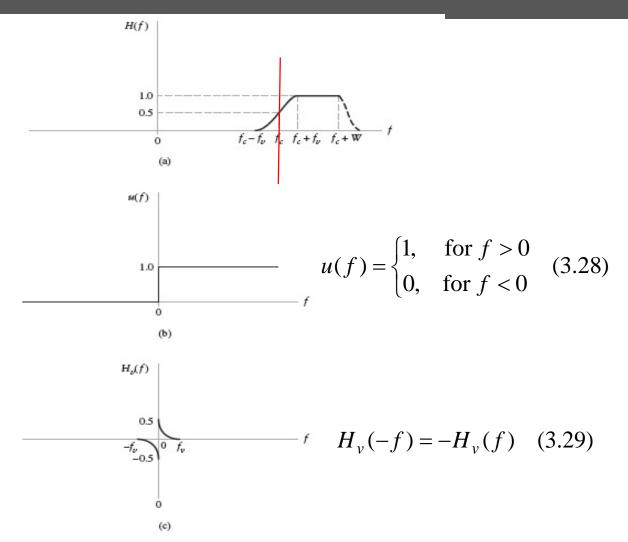
### □ Sideband Shaping Filter

- The transmitted vestige compensates for the spectral portion missing from the other sideband.
- Two properties of the sideband shaping filter
  - 1. Coherent detection recovers a replica of the message signal.

 $H(f + f_c) + H(f - f_c) = 1$ , for  $-W \le f \le W$  (3.26)

2. The transfer function of the sideband shaping filter exhibits odd symmetry about the carrier frequency

Let  $H(f) = u(f - f_c) - H_v(f - f_c)$ , for  $f_c - f_v < |f| < f_c + W$  (3.27)  $H_v(-f) = -H_v(f)$  (3.29) odd symmetry



**FIGURE 3.24** (a) Amplitude response of sideband-shaping filter; only the positivefrequency portion is shown, the dashed part of the amplitude response is arbitrary. (b) Unit-step function defined in the frequency domain. (c) Low-pass transfer function  $H_{\nu}(f)$ .

#### **EXAMPLE 3.3** Sinusoidal VSB

Consider the simple example of sinusoidal VSB modulation produced by the sinusoidal modulating wave

$$m(t) = A_m \cos(2\pi f_m t)$$

and carrier wave

 $c(t) = A_c \cos(2\pi f_c t)$ 

Let the upper side-frequency at  $f_c + f_m$  as well as its image at  $-(f_c + f_m)$  be attenuated by the factor k. To satisfy the condition of Eq. (3.26), the lower side-frequency at  $f_c - f_m$  and its image  $-(f_c - f_m)$  must be attenuated by the factor (1 - k). The VSB spectrum is therefore

$$S(f) = \frac{1}{4} k A_c A_m [\delta(f - (f_c + f_m)) + \delta(f + (f_c + f_m))]$$
  
+  $\frac{1}{4} (1 - k) A_c A_m [\delta(f - (f_c - f_m)) + \delta(f + (f_c - f_m))]$   
Plot it

Correspondingly, the sinusoidal VSB modulated wave is defined by

$$s(t) = \frac{1}{4} k A_c A_m [\exp(j2\pi (f_c + f_m)t) + \exp(-j2\pi (f_c + f_m)t)] + \frac{1}{4} (1 - k) A_c A_m [\exp(j2\pi (f_c - f_m)t) + \exp(-j2\pi (f_c - f_m)t)] = \frac{1}{2} k A_c A_m \cos(2\pi (f_c + f_m)t) + \frac{1}{2} (1 - k) A_c A_m \cos(2\pi (f_c - f_m)t)$$
(3.30)

Using well-known trigonometric identities to expand the cosine terms  $\cos(2\pi(f_c + f_m)t)$  and  $\cos(2\pi(f_c - f_m)t)$ , we may reformulate Eq. (3.30) as the linear combination of two sinusoidal DSB-SC modulated waves.  $k=0.5 \rightarrow \text{DSB-SC}$ 

$$s(t) = \frac{1}{2} A_c A_m \cos(2\pi f_c t) \cos(2\pi f_m t) \qquad \qquad \begin{array}{l} k=0 \rightarrow L-SSB \\ k=1 \rightarrow U-SSB \\ o.w. \rightarrow VSB \end{array}$$

$$+\frac{1}{2}A_{c}A_{m}(1-2k)\sin((2\pi f_{c}t)\sin(2\pi f_{m}t))$$
(3.31)

where the first term on the right-hand side is the in-phase component of s(t) and the second term is the quadrature component.

#### Coherent Detection of VSB

#### The demodulation consists of

- 1. multiplying s(t) with a locally generated sinusoid  $c(t) = A_c cos(2\pi f_c t)$
- 2. low-pass filtering the product signal  $v(t)=s(t)\cdot c(t)$
- Fourier transform of the product signal  $v(t) = A'_c s(t) \cos(2\pi f_c t)$  is  $V(f) = \frac{1}{2} A'_c [S(f - f_c) + S(f + f_c)] \quad (3.32)$ 
  - Fourier transform of VSB modulated wave s(t)

$$S(f) = \frac{1}{2} A_c [M(f - f_c) + M(f + f_c)] H(f) \quad (3.33)$$

- Shifting the VSB spectrum to the right and left by  $f_c$ 

$$S(f - f_c) = \frac{1}{2} A_c [M(f - 2f_c) + M(f)] H(f - f_c) \quad (3.34)$$

$$S(f+f_c) = \frac{1}{2}A_c[M(f) + M(f+2f_c)]H(f+f_c) \quad (3.35)$$

• Hence,

$$V(f) = \frac{1}{4} A_c A'_c M(f) [H(f - f_c) + H(f + f_c)] + \frac{1}{4} A_c A'_c [M(f - 2f_c) H(f - f_c) + M(f + 2f_c) H(f + f_c)] By H(f + f_c) + H(f - f_c) = 1, \text{ for } -W \le f \le W \quad (3.26) V(f) = \frac{1}{4} A_c A'_c M(f) + \frac{1}{4} A_c A'_c [M(f - 2f_c) H(f - f_c) + M(f + 2f_c) H(f + f_c)] \quad (3.36)$$

Scaled version of *m*(*t*)

High frequency component

- The low-pass filter in the coherent detector has a cutoff frequency just slightly greater than the message bandwidth
  - High frequency component of v(t) is removed.
  - The resulting demodulated signal is a scaled version of the desired message signal *m*(*t*).

#### **EXAMPLE 3.4** Coherent detection of sinusoidal VSB

Recall from Eq. (3.31) of Example 3.3, that the sinusoidal VSB modulated signal is defined by

$$s(t) = \frac{1}{2} A_c A_m \cos(2\pi f_m t) \cos(2\pi f_c t) + \frac{1}{2} A_c A_m (1 - 2k) \sin(2\pi f_c t) \sin(2\pi f_m t)$$

Multiplying s(t) by  $A'_c \cos(2\pi f_c t)$  in accordance with perfect coherent detection yields the product signal

$$\nu(t) = A'_{c}s(t)\cos(2\pi f_{c}t)$$
  
=  $\frac{1}{2}A_{c}A'_{c}A_{m}\cos(2\pi f_{m}t)\cos^{2}(2\pi f_{c}t)$   
+  $\frac{1}{2}A_{c}A'_{c}A_{m}(1-2k)\sin(2\pi f_{m}t)\sin(2\pi f_{c}t)\cos(2\pi f_{c}t)$ 

Next, using the trigonometric identities

$$\cos^2(2\pi f_c t) = \frac{1}{2} [1 + \cos(4\pi f_c t)]$$



and

$$\sin(2\pi f_c t)\cos(2\pi f_c t) = \frac{1}{2}\sin(4\pi f_c t)$$

we may redefine  $\nu(t)$  as

$$\nu(t) = \frac{1}{4} A_c A'_c A_m \cos(2\pi f_m t) + \frac{1}{4} A_c A'_c A_m [\cos(2\pi f_m t) \cos(4\pi f_c t) + (1 - 2k) \sin(2\pi f_m t) \sin(4\pi f_c t)] (3.37)$$

The first term on the right-hand side of Eq. (3.37) is a scaled version of the message signal  $A_m \cos(2\pi f_m t)$ . The second term of the equation is a new sinusoidal VSB wave modulated onto a carrier of frequency  $2f_c$ , which represents the high-frequency components of  $\nu(t)$ . This second term is removed by the low-pass filter in the detector of Fig. 3.12, provided that the cut-off frequency of the filter is just slightly greater than the message frequency  $f_m$ .

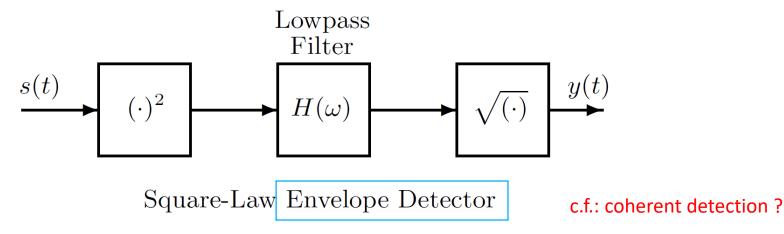
#### **EXAMPLE 3.5** Envelope detection of VSB plus carrier

The coherent detection of VSB requires synchronism of the receiver to the transmitter, which increases system complexity. To simplify the demodulation process, we may purposely add the carrier to the VSB signal (scaled by the factor  $k_a$ ) prior to transmission and then use envelope detection in the receiver.<sup>3</sup> Assuming sinusoidal modulation, the "VSB-plus-carrier" signal is defined [see Eq. (3.31) of Example 3.3) as

 $s_{\text{VSB}+\text{C}}(t) = A_c \cos(2\pi f_c t) + k_a s(t), \qquad k_a = \text{amplitude sensitivity factor}$   $= A_c \cos(2\pi f_c t) + \frac{k_a}{2} A_c A_m \cos(2\pi f_m t) \cos(2\pi f_c t)$   $+ \frac{k_a}{2} A_c A_m (1 - 2k) \sin(2\pi f_m t) \sin(2\pi f_c t)$   $= A_c \left[ 1 + \frac{k_a}{2} A_m \cos(2\pi f_m t) \right] \cos(2\pi f_c t)$   $+ \frac{k_a}{2} A_c A_m (1 - 2k) \sin(2\pi f_m t) \sin(2\pi f_c t)$ 

# 3.1 Amplitude Modulation

#### Demodulation: Square-law demodulation



The squarer output is

$$s^{2}(t) = A_{c}^{2}[1 + k_{a}m(t)]^{2}\cos^{2}\omega_{c}t$$
  
=  $0.5A_{c}^{2}[1 + k_{a}m(t)]^{2} + 0.5A_{c}^{2}[1 + k_{a}m(t)]^{2}\cos 2\omega_{c}t$ 

The envelope of  $s_{VSB+C}(t)$  is therefore

$$a(t) = \left\{ A_c^2 \left[ 1 + \frac{k_a}{2} A_m \cos(2\pi f_m t) \right]^2 + A_c^2 \left[ \frac{k_a}{2} A_m (1 - 2k) \sin(2\pi f_m t) \right]^2 \right\}^{1/2}$$
$$= A_c \left[ 1 + \frac{k_a}{2} A_m \cos(2\pi f_m t) \right] \left\{ 1 + \left[ \frac{\frac{k_a}{2} A_m (1 - 2k) \sin(2\pi f_m t)}{1 + \frac{k_a}{2} A_m \cos(2\pi f_m t)} \right]^2 \right\}^{1/2}$$
(3.38)

Equation (3.38) shows that *distortion* in the envelope detection performed on the envelope a(t) is contributed by the quadrature component of the sinusoidal VSB signal. This distortion can be reduced by using a combination of two methods:

- The amplitude sensitivity factor  $k_a$  is reduced, which has the effect of reducing the percentage modulation.
- The width of the vestigial sideband is reduced, which has the effect of reducing the factor (1 2k).

Both of these methods are intuitively satisfying in light of what we see inside the square brackets in Eq. (3.38).

## Reminder: AM, DSB-SC, SSB, VSB

$$(3.2) \ s(t) = A_{c}[1 + k_{a}m(t)]\cos(2\pi f_{c}t) \quad \mathsf{AM}$$

$$(3.8) \ s(t) = A_{c}m(t)\cos(2\pi f_{c}t) \quad \mathsf{DSB-SC} \leftarrow \mathsf{k=0.5}$$

$$(3.21) \ s(t) = \frac{A_{c}}{2}m(t)\cos(2\pi f_{c}t) \mp \frac{A_{c}}{2}\hat{m}(t)\sin(2\pi f_{c}t) \quad \mathsf{U-SSB} \leftarrow \mathsf{k=0.5}$$

$$(3.33) \ S(f) = \frac{1}{2}A_{c}\left[M\left(f - f_{c}\right) + M\left(f + f_{c}\right)\right]H\left(f\right) \quad \mathsf{VSB} \leftarrow \mathsf{I-SSB} \leftarrow \mathsf{I-$$

#### 3.8 Baseband Representation of Modulated Waves and Band-Pass Filters

- Baseband
  - This term is used to designate the band of frequencies representing the original signal as delivered by a source of information
- Baseband Representation of Modulation Waves
  - A linear modulated wave

 $s(t) = s_I(t)\cos(2\pi f_c t) - s_Q(t)\sin(2\pi f_c t)$  (3.39)  $\leftarrow$  pass-band signals

Let  $c(t) = \cos(2\pi f_c t)$  : carrier wave with frequency  $f_c$ 

 $\hat{c}(t) = \sin(2\pi f_c t)$  : quadrature-phase version of carrier

The modulated wave in the compact form

$$s(t) = s_I(t)c(t) - s_Q(t)\hat{c}(t)$$
 (3.40)

*in-phase* component *quadrature* component

: canonical representation of linear modulated waves

#### 3.8 Baseband Representation of Modulated Waves and Band-Pass Filters

The complex envelope of the modulated wave

 $\widetilde{s}(t) = s_I(t) + js_Q(t)$  (3.41)  $\leftarrow$  baseband signals

Complex carrier wave

$$\tilde{c}(t) = c(t) + j\hat{c}(t) = \cos(2\pi f_c t) + j\sin(2\pi f_c t)$$
$$= \exp(j2\pi f_c t) \qquad (3.42)$$

Modulated wave

 $s(t) = \operatorname{Re}\left[\widetilde{s}(t)\widetilde{c}(t)\right] = \operatorname{Re}\left[\widetilde{s}(t)\exp(j2\pi f_c t)\right] \quad (3.43)$ 

- The practical advantage of the complex envelope
  - The highest frequency component of s(t) may be as large as  $f_c+W$ , where  $f_c$  is the carrier frequency and W is the message bandwidth
  - On the other hand, the highest frequency component of  $\tilde{s}(t)$  is considerably smaller, being limited by the message bandwidth W.

#### 3.8 Pass

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Type of modulation	In-phase component s <sub>I</sub> (t)	Quadrature component s <sub>Q</sub> (t)	Comments	
AM	$1 + k_a m(t)$	0	$k_a = $ amplitude sensitivity	
DSB-SC	$m(t) \ m_1(t)$	$0 m_2(t)$	m(t) = message signal	
SSB:		353		
(a) Upper sideband transmitted	$\frac{1}{2}m(t)$	$\frac{1}{2}\hat{m}(t)$	$\hat{m}(t)$ = Hilbert transform of $m(t)$ (see part (i) of footnote 4)	
(b) Lower sideband transmitted	$\frac{1}{2}m(t)$	$-\frac{1}{2}\hat{m}(t)$		
VSB:				
(a) Vestige of lower sideband transmitted	$\frac{1}{2}m(t)$	$\frac{1}{2}m'(t)$	m'(t) = response of filter with transfer function $H_Q(f)$ due to message signal $m(t)$ . The $H_Q(f)$ is defined by the formu (see part (ii) of footnote 4)	
(b) Vestige of upper sideband transmitted	$\frac{1}{2}m(t)$	$-\frac{1}{2}m'(t)$	$H_Q(f) = -j[H(f + f_c) - H(f - f_c)]$ where $H(f)$ is the transfer function	

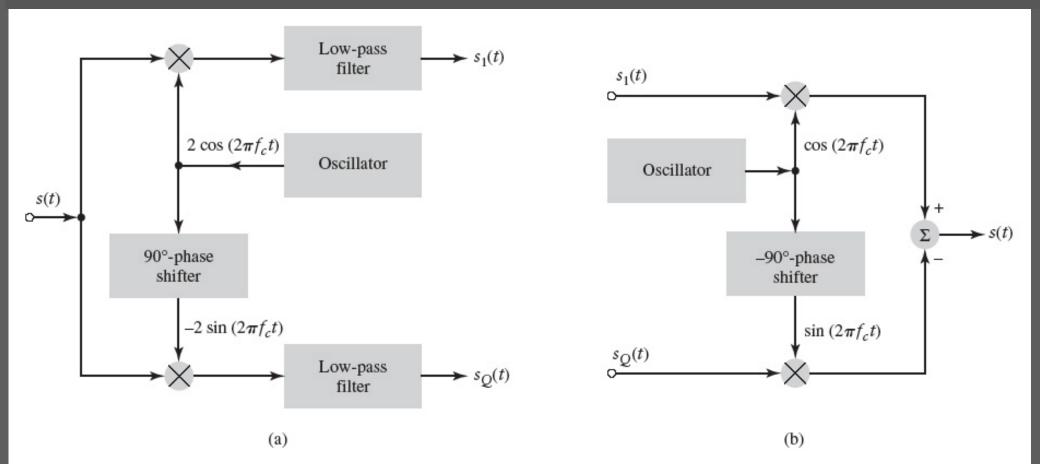
TABLE 3.1 Different Forms of Linear Modulation as Special Cases



of the VSB sideband shaping filter.

d-

#### 3.8 Baseband Representation of Modulated Waves and Band-Pass Filters



**FIGURE 3.25** (*a*) Scheme for deriving the in-phase and quadrature components of a linearly modulated (i.e., band-pass) signal. (*b*) Scheme for reconstructing the modulated signal from its in-phase and quadrature components.

### Reminder: 3.5 Quadrature-Carrier Multiplexing

**Quadrature-Amplitude modulation (QAM)** 

 This scheme enables two DSB-SC modulated waves to occupy the same channel bandwidth (exploiting "quadrature null effect") as one DSB-SC wave

 $s(t) = A_c m_1(t) \cos(2\pi f_c t) + A_c m_2(t) \sin(2\pi f_c t) \quad (3.12)$ 

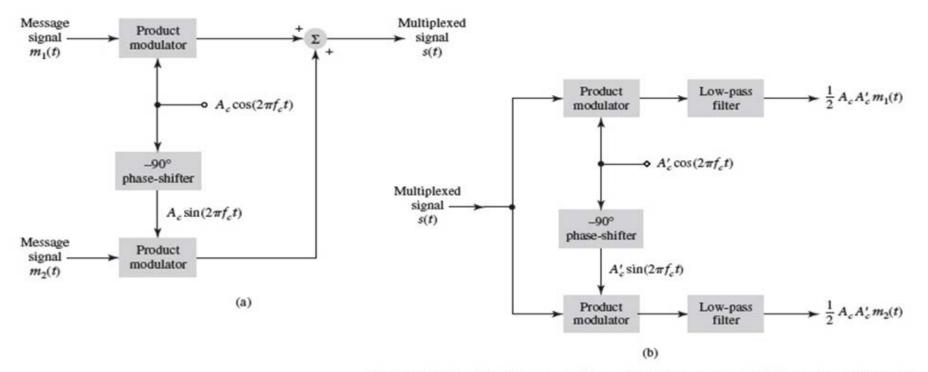
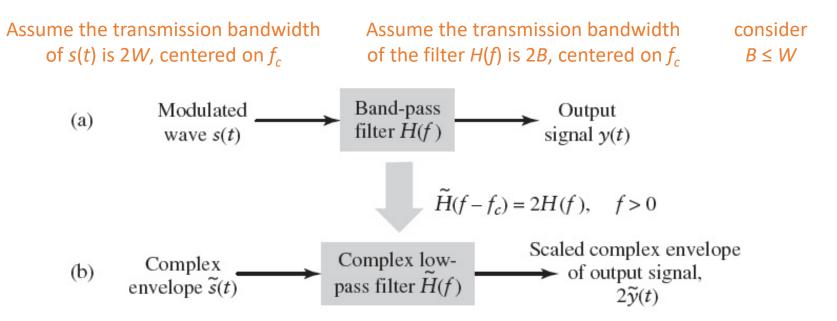


FIGURE 3.17 Quadrature-carrier multiplexing system: (a) Transmitter, (b) receiver.

#### 3.8 Baseband Representation of Modulated Waves and Band-Pass Filters

#### Baseband Representation of Band-Pass Filter



**FIGURE 3.26** Band-pass filter to complex low-pass system transformation: (*a*) Real-valued band-pass configuration, and (*b*) corresponding complex-valued low-pass configuration.

#### 3.8 Baseband Representation of Modulated Waves and Band-Pass Filters

#### Procedure to determine

1. Given H(f), defined for both positive and negative frequencies, keep the part of H(f) corresponding to positive frequencies; let  $H_+(f)$  denote this part.

- 2.Shift  $H_{+}(f)$  to the left along the frequency axis by  $f_{c}$ , and scale it by the factor 2. The obtained result is  $\tilde{H}(f)$ .
- $\Box$ Actual output y(t) is determined from the formula

 $y(t) = \operatorname{Re}\left[\widetilde{y}(t)\exp(j2\pi f_c t)\right] \quad (3.45)$ 

- □ The functions of receiver in a broadcasting system
  - Carrier-frequency tuning: select the desired signal
  - Filtering: separate the desired signal from other modulated signals
  - Amplification: compensate for the signal power loss incurred during transmission.
  - Superheterodyne Receiver (superhet)

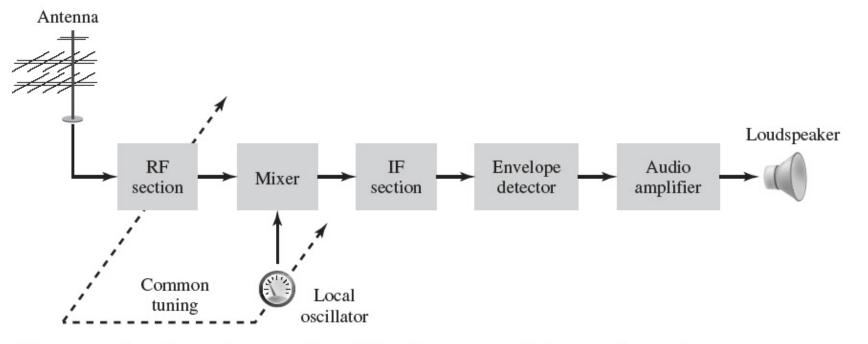


FIGURE 3.27 Basic elements of an AM radio receiver of the superheterodyne type.

#### □ Television Signals

1.The video signal exhibits a large bandwidth and significant low-frequency content, which suggest the use of VSB modulation.

2.The circuitry used for demodulation in the receiver should be simple and therefore inexpensive. This suggest the use of envelope detection, which requires the addition of a carrier to the VSB modulated wave.

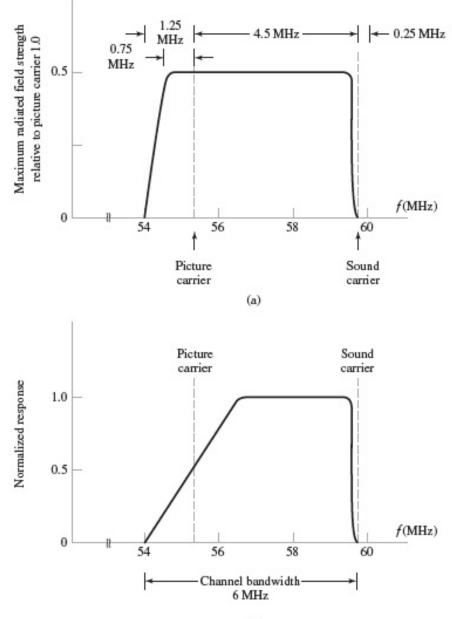
#### TABLE 3.2 Typical Frequency Parameters of AM and FM Radio Receivers

	AM Radio	FM Radio
RF carrier range	0.535-1.605 MHz	88-108 MHz
Mid-band frequency of IF section	0.455 MHz	10.7 MHz
IF bandwidth	10 kHz	200 kHz

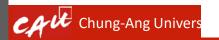
Voice frequency band ranges from approximately 300 Hz to 3400 Hz

The bandwidth allocated for a single voice-frequency transmission channel is usually 4 kHz, including guard bands, allowing a sampling rate of 8 kHz to be used as the basis of the pulse code modulation system used for the digital PSTN.

# 3.9 The



(b)

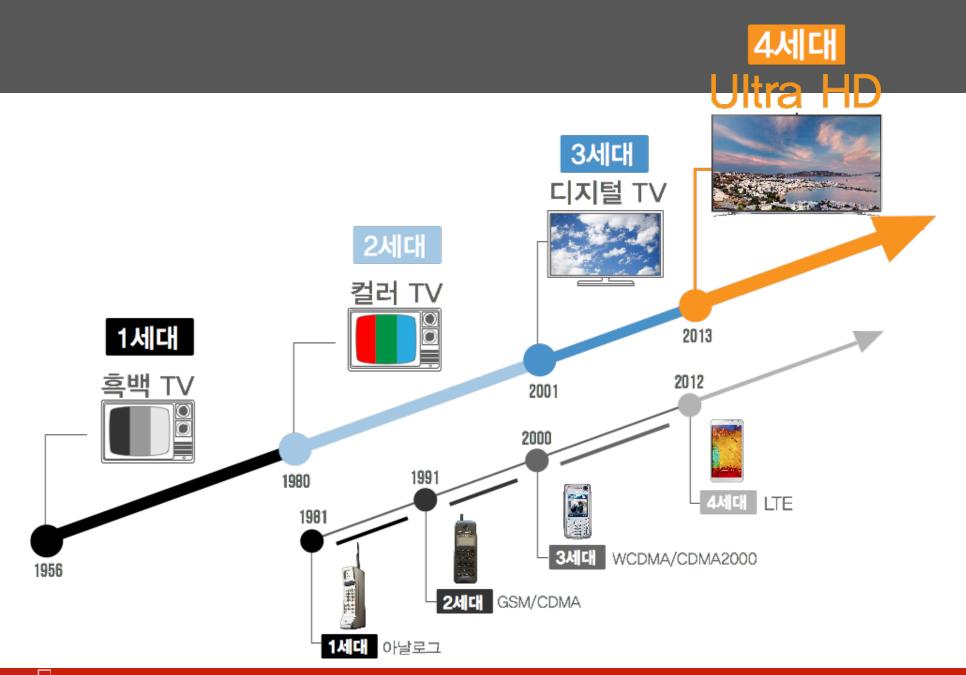


**FIGURE 3.28** (a) Idealized amplitude spectrum of a transmitted TV signal. (b) Amplitude response of a VSB shaping filter in the receiver.

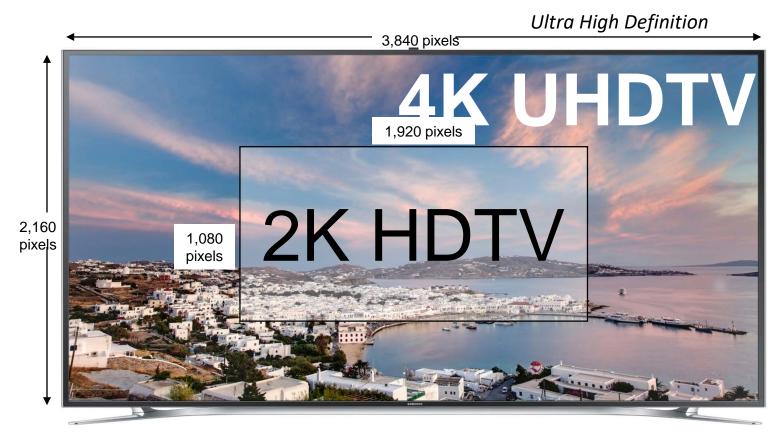
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	ATSC 1.0	ATSC 3.0		
전송방식	Single Carrier	Multi-Carrier (OFDM, 8K/16K/32K FFT)		
TV Network	MFN	MFN, SFN		
Channel bandwidth	6 MHz	6, 7, 8 MHz		
Target Device	TV	TV, In-door/Mobile Device		
Modulation	8-VSB	QPSK, NUC-16/64/256/1K/4K		
FEC	RS(207,187) + Conv. (R=2/3)	BCH, CRC + LDPC (R=2/15, 3/15, , 13/15)		
Data rate (BW = 6MHz)	19.4 Mbps (@SNR 15 dB)	1 Mbps ~ 60 Mbps (SNR: - 5dB ~ 40dB) 25 Mbps (@SNR 15dB)		
Multiplexing	None	TDM (time-division multiplexing), LDM (layered division multiplexing)		
Time interleaver 길이	4 ms	0, 50, 100, 150, 200 ms		
EWS 지원	None	지원		
ATSC: Advanced Television Systems Committee * EWS: Emergency Warning System				

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### □ 4K UHD (3840x2160), Digital Cinema (4096x2160), 8K UHD (7680x4320)



#### Multiplexing

- To transmit a number of signals over the same channel, the signals must be kept apart so that they do not interfere with each other, and thus they can be separated at the receiving end.
- Frequency-division multiplexing (FDM)
- Time-division multiplexing (TDM)

### Duplexing

- To separate the direction of communications.
- E.g., uplink/downlink
- Frequency-division duplexing (FDD)
- Time-division duplexing (TDD)

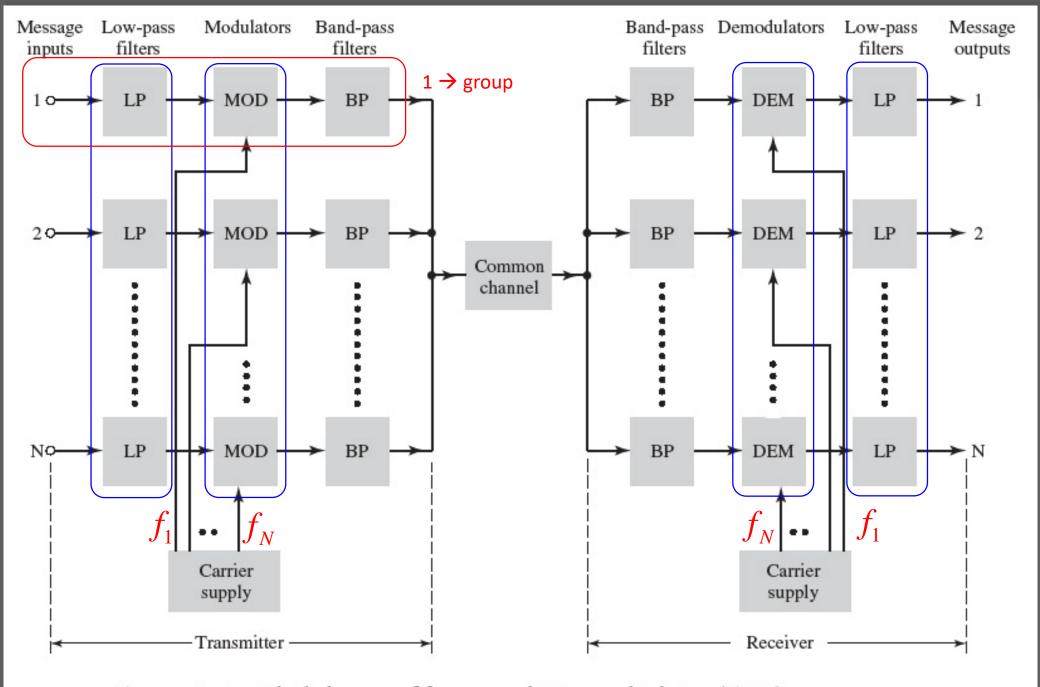
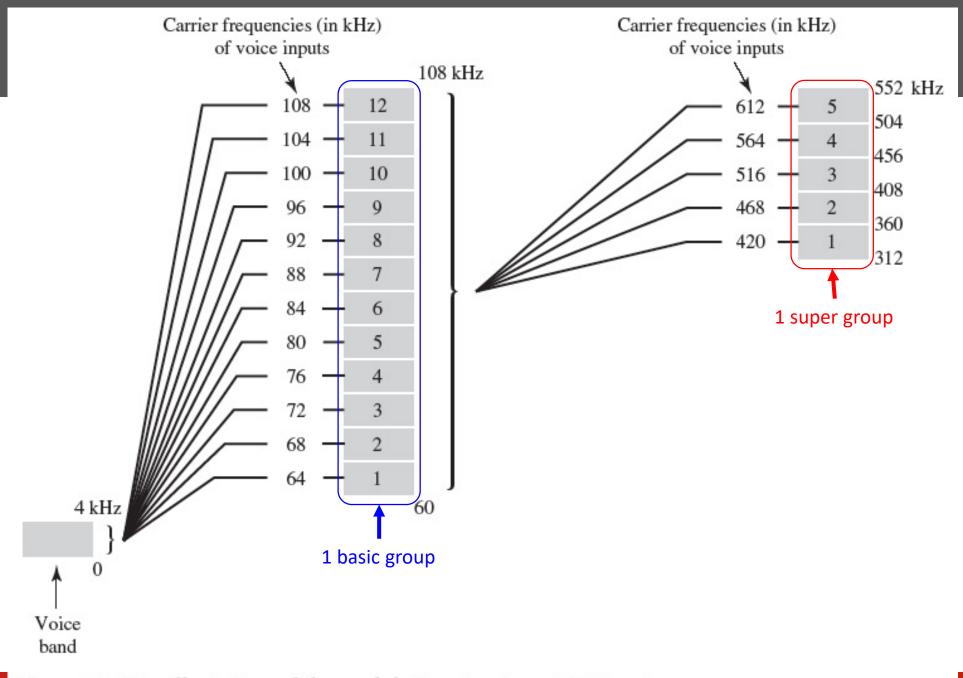


FIGURE 3.29 Block diagram of frequency-division multiplexing (FDM) system.

#### **EXAMPLE 3.6** Modulation steps in a 60-channel FDM system

The practical implementation of an FDM system usually involves many steps of modulation and demodulation, as illustrated in Fig. 3.30. The first multiplexing step combines 12 voice

inputs into a basic group, which is formed by having the *n*th input modulate a carrier at frequency  $f_c = 60 + 4n$  kHz, where n = 1, 2, ..., 12. The lower sidebands are then selected by band-pass filtering and combined to form a group of 12 lower sidebands (one for each voice input). Thus the basic group occupies the frequency band 60–108 kHz. The next step in the FDM hierarchy involves the combination of five basic groups into a supergroup. This is accomplished by using the *n*th group to modulate a carrier of frequency  $f_c = 372 + 48n$  kHz, where n = 1, 2, ..., 5. Here again the lower sidebands are selected by filtering and then combined to form a supergroup occupying the band 312-552 kHz. Thus, a supergroup is designed to accommodate 60 independent voice inputs. The reason for forming the supergroup in this manner is that economical filters of the required characteristics are available only over a limited frequency range. In a similar manner, supergroups are combined into *mastergroups*, and mastergroups are combined into *very large groups*.



**CA FIGURE 3.30** Illustration of the modulation steps in an FDM system.

# 3.10 Summary and Discussion

- **The example modulated wave is**  $s(t) = A_c m(t) \cos(2\pi f_c t)$  (3.47)
  - 1. <u>Amplitude modulation (AM),</u> in which the upper and lower sidebands are transmitted in full, accompanied by the carrier wave
    - Simple envelope detector vs. wasteful BW and powe (double BW and carrier power) consumption
  - 2. <u>Double sideband-suppressed carrier (DSB-SC)</u> modulation, in which only the upper AND lower sidebands are transmitted.
    - Less power than AM vs. Complexity.
  - **3.** <u>Single sideband (SSB) modulation</u>, in which only the upper sideband OR lower sideband is transmitted.
    - Minimum transmit power and BW vs. Complexity
  - **4.** <u>Vestigial sideband modulation</u>, in which "almost" the whole of one sideband and a "vestige" of the other sideband are transmitted in a prescribed complementary fashion
    - VSB modulation requires an channel bandwidth that is intermediate between that required for SSB and DSB-SC systems, and the saving in bandwidth can be significant if modulating signals with large bandwidths are being handled.