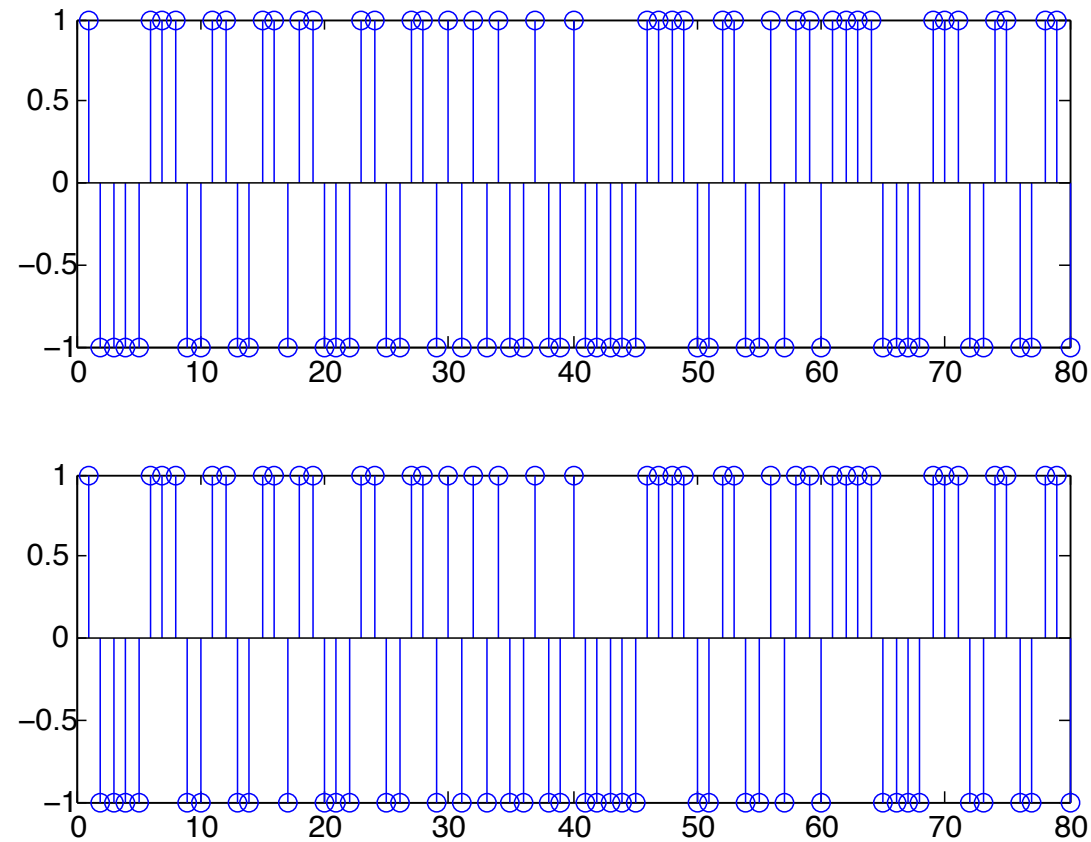


# Gold Codes



**Figure:** From the top, Gold code generated with two SSRSG and one SSRSG implementation, respectively

# Balanced Gold Codes

- In most cases, we prefer spreading codes that have a balanced number of zeros and ones as with the ML SSRS
- Family of Gold codes can be classified into three sets when  $r$  is odd

Set	Number of Ones	Number of Codes
1	$2^{r-1}$	$2^{r-1} + 1$
2	$2^{r-1} + 2^{\frac{r-1}{2}}$	$2^{r-2} - 2^{\frac{r-3}{2}}$
3	$2^{r-1} - 2^{\frac{r-1}{2}}$	$2^{r-2} + 2^{\frac{r-3}{2}}$

- It is clear that codes in Set 1 are the **balanced codes**
- Portion of balanced codes in a family of Gold codes

$$\eta = \frac{2^{r-1} + 1}{2^r + 1} \approx 0.5 \text{ for large } r$$

- We may claim that approximately half of Gold codes of a given order are balanced

# Balanced Gold Codes

- In order to isolate the balanced Gold codes, we need to introduce the concept of **characteristic phase** of an ML SSRS

## Definition 7.4

The characteristic phase of an ML SSRS is the phase such that sampling the sequence at every other symbol (decimated by a factor of 2) at the phase results in the original sequence

## Theorem 7.5

The initial load  $a^c(D)$  given in below results in the characteristic phase for a given ML SSRSG with generator  $g(D)$

$$a^c(D) = \begin{cases} \frac{d\{Dg(D)\}}{dD}, & r = \text{odd} \\ g(D) + \frac{d\{Dg(D)\}}{dD}, & r = \text{even} \end{cases}$$

# Balanced Gold Codes

## Example 7.6

Consider the primitive polynomial  $g(D) = D^4 + D + 1$

- Characteristic phase

$$\begin{aligned} a^c(D) &= g(D) + \frac{d\{Dg(D)\}}{dD} \\ &= D^4 + D + 1 + 5D^4 + 2D + 1 \\ &= D^4 + D + 1 + D^4 + 1 = D \end{aligned}$$

- The generated sequence with  $a^c(D)$

$$\begin{aligned} b^c(D) &= \frac{D}{D^4 + D + 1} \\ &= D + D^2 + D^3 + D^4 + D^6 + D^8 + D^9 + D^{12} + D^{16} + \dots \end{aligned}$$

which gives

$$b_c = 0, 1, 1, 1, 1, 0, 1, 0, 1, 1, 0, 0, 1, 0, 0, \dots$$

- Decimation on  $b_c$  by 2 gives  $b'_c = 0, 1, 1, 1, 1, 0, 1, 0, 1, 1, 0, 0, 1, 0, 0, \dots$

# Balanced Gold Codes

## Example 7.7

Consider the primitive polynomial  $g(D) = D^5 + D^2 + 1$

- Characteristic phase

$$a^c(D) = \frac{d\{D^6 + D^3 + D\}}{dD} = D^2 + 1$$

- Note that, if  $r$  is odd, then  $a^c(D)$  is of form  $1 + a^*(D)$  where  $a_0^* = 0$
- First symbol of an ML sequence whose order is odd in its characteristic phase will be a one

## Theorem 7.8

- Let  $g_1(D)$  and  $g_2(D)$  be a preferred pair of primitive polynomials of an odd order
- The Gold code generated by  $g_1(D)$  and  $g_2(D)$  produces a balanced Gold code if the initial load corresponding to  $g_2(D)$  is chosen so that the first '1' in the characteristic phase of the sequence lines up with a zero in the sequence generated by  $g_1(D)$

# Balanced Gold Codes

## Example 7.9

- Consider the following preferred primitive polynomial pair

$$\begin{aligned} g_1(D) &= D^3 + D + 1 = (13)_8 \\ g_2(D) &= D^3 + D^2 + 1 = (15)_8 \end{aligned}$$

- Decimation by 3 of the sequence generated by  $g_1(D)$  yields the sequence generated by  $g_2(D)$  (Theorem 6.6)

$$\begin{aligned} g'(\alpha^3) &= \alpha^9 + \alpha^6 + 1 \\ &= (\alpha + 1)^3 + (\alpha + 1)^2 + 1 = (\alpha + 1)^2 \alpha + 1 \\ &= \alpha^3 + \alpha + 1 = 0 \leftarrow \alpha \text{ is the root of } g_1(D) \end{aligned}$$

- Initial load  $a_2^c(D)$  that results in the characteristic phase is

$$a_2^c(D) = \frac{d\{D^4 + D^3 + D\}}{dD} = D^2 + 1$$

# Balanced Gold Codes

- Let the initial load corresponding to  $g_1(D)$  be  $a_1(D) = 1$

$$b_1 = 1, 1, 1, 0, 1, 0, 0, 1, 1, 1, 0, \dots$$

$$b_2^c = \textcolor{red}{1}, 0, 0, 1, 0, 1, 1, 1, 0, 0, 1, \dots$$

- For  $b_1 \oplus b_2^c$  to be a balanced Gold code, the '1' (noted by red color) should line up with a zero in  $b_1$  before being added

$$b_1 = 1, 1, 1, 0, 1, 0, 0, \textcolor{red}{|}, 1, 1, 1, 0, \dots$$

$$b_3 = 0, 1, 1, \textcolor{red}{1}, 0, 0, 1, \textcolor{red}{|}, 0, 1, 1, 1, \dots \leftarrow b_2^c \text{ delayed by three clocks}$$

$$b_1 \oplus b_3 = 1, 0, 0, 1, 1, 0, 1, \textcolor{red}{|}, 1, 0, 0, 1, \dots$$

# Balanced Gold Codes

- Note that  $b_1 \oplus b_3$  is balanced and there are two more balanced codes

## Procedure for balanced Gold code generation

- 1 Select a preferred pair of ML SSRS  $b_1(D)$  and  $b_2(D)$
- 2 Implement the Gold code generator
- 3 Set the initial load of the lower ML SSRSG so that it is in its characteristic phase
- 4 Set the initial load of the upper ML SSRSG so that  $a_0^1 = 0$

- This procedure will generate a family of  $2^{r-1}$  balanced Gold codes



# Balanced Gold Codes

## Example 7.10

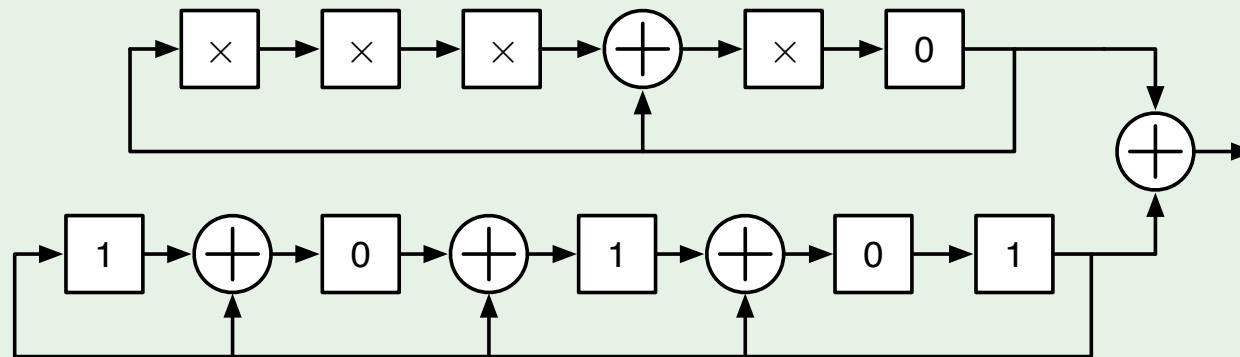
- Let us generate a set of balanced Gold codes of length  $2^5 - 1$

$$g_1(D) = D^5 + D^2 + 1$$

$$g_2(D) = D^5 + D^4 + D^3 + D^2 + 1$$

- The initial load for  $g_2(D)$

$$a_2^c(D) = \frac{d\{D^6 + D^5 + D^4 + D^3 + D\}}{dD} = D^4 + D^2 + 1$$



- The family of  $2^{5-1} = 16$  balanced Gold codes

# Welch's Lower Bound

- Welch's lower bound on the peak cross correlation value among a set of sequences of a given length

## Theorem 8.1

The peak cross correlation  $\theta_{\max}$  between any pair of sequences in a family of  $M$  binary SSRS of period  $N$  satisfy the following bound

$$\theta_{\max} \leq \sqrt{\frac{M-1}{NM-1}} \triangleq \theta^{\text{Welch}} \approx \frac{1}{\sqrt{N}} \text{ for large } M$$

- This lower bound on the maximum cross correlation is referred to as the Welch's bound

# Welch's Lower Bound

- The peak correlation value of Gold codes

$$\begin{aligned}\theta_{\max}^{\text{Gold}} &= \begin{cases} \frac{1}{N} \left( 1 + 2^{\frac{r+1}{2}} \right), & r = \text{odd} \\ \frac{1}{N} \left( 1 + 2^{\frac{r+2}{2}} \right), & r = \text{even and not divisible by 4} \end{cases} \\ &\approx \begin{cases} \sqrt{2} \cdot 2^{-\frac{r}{2}}, & r = \text{odd} \\ 2 \cdot 2^{-\frac{r}{2}}, & r = \text{even and not divisible by 4} \end{cases}\end{aligned}$$

- Gold code possesses a peak cross correlation value that is  $\sqrt{2}$  ( $r=\text{odd}$ ) or 2 ( $r=\text{even and not divisible by 4}$ ) times larger than that given by the Welch's bound

# Kasami Codes

- We ask the question whether there exists a code whose peak cross correlation value actually achieves the Welch's lower bound
- The answer to this question is yes and a family of codes called the **Kasami codes**

## Definition 8.2

- Start with an ML SSRS  $\{b_n\}$  of an even order  $r$
- Decimate the sequence by a factor of  $2^{\frac{r}{2}} + 1$  to obtain a second sequence  $\{b_n^d\}$
- The period of  $\{b_n^d\}$  is  $2^{\frac{r}{2}} - 1$
- By adding  $\{b_n\}$  with  $\{b_n^d\}$  and all  $2^{\frac{r}{2}} - 1$  possible phase shifts of  $\{b_n^d\}$  and including original sequence  $\{b_n\}$ , we obtain the family of  $2^{\frac{r}{2}}$  Kasami codes of length  $N = 2^r - 1$

# Kasami Codes

## Theorem 8.3

The side lobe of the auto correlation function and the cross correlation function between any pair of Kasami codes is three valued taking on values of

$$-\frac{1}{N}, -\frac{1}{N} [\eta(r) + 1], \frac{1}{N} [\eta(r) - 1]$$

where  $\eta(r) = 2^{\frac{r}{2}}$

# Kasami Codes

## Lemma 8.4

The family of Kasami codes achieves the Welch's bound

### ■ Proof

- The peak cross correlation value between the Kasami codes  $\theta_{\max}^{\text{Kasami}}$  satisfies

$$\begin{aligned}\theta_{\max}^{\text{Kasami}} &= \frac{\eta(r) + 1}{N} \rightarrow N\theta_{\max}^{\text{Kasami}} = \eta(r) + 1 \\ &= 2^{\frac{r}{2}} + 1 = M + 1\end{aligned}$$

where  $M = \frac{r}{2}$  is the number of codes in the family of Kasami codes

# Kasami Codes

- Welch's lower bound on the peak cross correlation values for the parameters of the Kasami codes is given by

$$\begin{aligned} N\theta^{\text{Welch}} &= \sqrt{\frac{N^2(M-1)}{NM-1}} \\ &= \sqrt{\frac{(M^2-1)^2(M-1)}{(M^2-1)M-1}} \\ &= \sqrt{\frac{M^5 - M^4 - 2M^3 + 2M^2 + M + 1}{M^3 - M + 1}} \\ &= \sqrt{M^2 - M - 1 + \frac{M}{M^3 - M + 1}} \\ &= \sqrt{M^2 - M - 1 + \lambda} \end{aligned}$$

where

$$0 < \lambda = \frac{M}{M^3 - M + 1} < 1$$

# Kasami Codes

■ Hence,

$$\begin{aligned} N\theta^{\text{Welch}} &> \sqrt{M^2 - M - 1} \\ &\geq \sqrt{M^2 - 2M + 1} \\ &= M - 1 \end{aligned}$$

- Note that  $N\theta_{\max}^{\text{Kasami}} = M + 1$
- The last inequality follows from the fact that  $M = 2^{\frac{r}{2}}$  for  $r$  even
- Since the value of the cross correlation times  $N$  must be an **odd integer**<sup>1</sup>,  $M + 1$  is the smallest possible peak cross correlation value predicted by the Welch's bound

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<sup>1</sup> $N$  and  $M$  are integer and  $N$  is odd